

# Post-Hartree-Fock Methods

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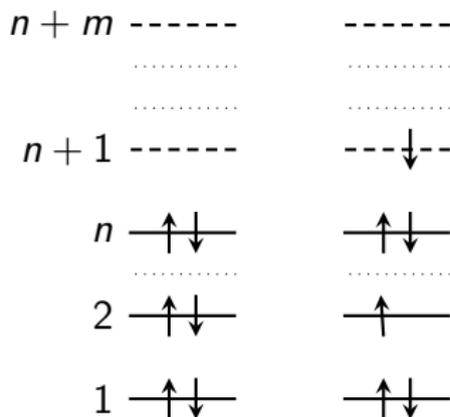


# Configuration Interaction I

CI: Increase the flexibility in the wavefunction by including in addition the the HF ground state, excited states.

Q: What are excited states and how to we form them?

# Configuration Interaction II



**Figure:** **Left:** HF ground state configuration. **Right:** An example of an excited state configuration. If there are  $n$  occupied levels (2 electrons each, so  $N = 2n$ ) and  $m$  virtual (un-occupied) levels, in how many ways can we form excited states? Each of these states will correspond to a Slater determinant.

## Configuration Interaction III

The Full CI (FCI) wavefunction:

$$\begin{aligned}
 |\Psi\rangle &= c_0|\Psi_0\rangle + \sum_{ia} c_i^a|\Psi_i^a\rangle + \sum_{i<j,a<b} c_{ij}^{ab}|\Psi_{ij}^{ab}\rangle + \dots \\
 &= c_0|\Psi_0\rangle + c_S|S\rangle + c_D|D\rangle + \dots
 \end{aligned}$$

where electrons are excited from the *occupied* orbitals  $i, j, k, \dots$  to the *virtual* orbitals  $a, b, c, \dots$ .

Points to note about CI:

- Because of Brillouin's theorem, there is no (direct) coupling between the HF ground state  $|\Psi_0\rangle$  and the single excitations.
- There is no coupling between  $|\Psi_0\rangle$  and triples, quadruples, etc. Similarly, singles do not mix with quadruples, quintuples etc.

Q: Why?

# Configuration Interaction IV

- The double excitations have the largest effect on the correlation energy because they mix directly with the HF g.s.
- Next in importance are the quadruples.
- Full CI scales exponentially with system size, so it is typically limited to small systems for reference calculations only.

Q:

To see why the scaling is so extreme calculate the number of single determinants in the CI expansion.

# Configuration Interaction V

CI expansion in *intermediate normalized form* ( $c_0 = 1$ ):

$$|\Psi\rangle = |\Psi_0\rangle + \sum_{ia} c_i^a |\Psi_i^a\rangle + \sum_{i<j,a<b} c_{ij}^{ab} |\Psi_{ij}^{ab}\rangle + \dots$$

As defined  $|\Psi\rangle$  is not normalized. (To see this calculate  $\langle\Psi|\Psi\rangle$ .)  
Notice that this form satisfies the intermediate normalization condition

$$\langle\Psi_0|\Psi\rangle = 1$$

Now we have

$$H|\Psi\rangle = \mathcal{E}_0|\Psi\rangle$$

# Configuration Interaction VI

rewrite this as

$$(H - E_0)|\Psi\rangle = (\mathcal{E}_0 - E_0)|\Psi\rangle = E_{\text{corr}}|\Psi\rangle$$

Taking the inner product with  $\langle\Psi_0|$  we get

$$\langle\Psi_0|(H - E_0)|\Psi\rangle = E_{\text{corr}}\langle\Psi_0|\Psi\rangle = E_{\text{corr}}$$

Now stick in the expansion for the CI wavefunction  $|\Psi\rangle$  into this to get:

$$E_{\text{corr}} = \langle\Psi_0|(H - E_0)|\Psi\rangle = \sum_{i < j, a < b} c_{ij}^{ab} \langle\Psi_0|H|\Psi_{ij}^{ab}\rangle$$

**Q:** Derive this expression for the correlation energy.

# Configuration Interaction VII

So we have an expression for the correlation energy in CI and it seems to depend on the coefficients of the doubly excited states only.

Q: How can this be so? Does this mean that we do not need the other terms in the CI expansion? Read Szabo & Ostlund Sec. 4.1.1 to find an answer to this puzzle or, better yet, work it out yourself.

# Configuration Interaction VIII

## FCI:

$$\begin{aligned} |\Psi\rangle &= c_0|\Psi_0\rangle + \sum_{ia} c_i^a|\Psi_i^a\rangle + \sum_{i<j,a<b} c_{ij}^{ab}|\Psi_{ij}^{ab}\rangle + \dots \\ &= c_0|\Psi_0\rangle + c_S|S\rangle + c_D|D\rangle + \dots \end{aligned}$$

- **GOOD** This expansion will lead to the *exact energy within the basis set used*.
- **BAD** There are too many determinants!

# Configuration Interaction IX

If there are  $M$  spin orbitals and  $N$  electrons ( $N < M$ ) then how many  $n$ -tuple excited determinants will we have?

We have  $N$  occupied SOs and  $M - N$  virtual SOs. We can select  $n$  electrons from the occupied set in  $N!/(n!(N - n)!)$  ways. These  $n$  electrons can be placed in the virtual space in  $(M - N)!/(n!(M - N - n)!)$  ways. So the total number of  $n$ -tuple excited determinants is

$$\frac{N!(M - N)!}{(n!)^2(N - n)!(M - N - n)!}$$

which gets large very quickly. For large  $M, N$  and  $n$  you can use Stirling's approximation to show that the number of excited determinants scales exponentially.

# Configuration Interaction X

One solution to the problem is to use only some of the many determinants. For example we could use only double excitations. This leads to the **CID** method.

$$\begin{aligned} |\Psi^{\text{CID}}\rangle &= |\Psi_0\rangle + \sum_{ij,ab} c_{ij}^{ab} |\Psi_{ij}^{ab}\rangle \\ &= |\Psi_0\rangle + c_D |D\rangle \end{aligned}$$

**BAD** This theory, like all truncated CI methods, is not *size extensive*.

**Size-extensivity:** If  $E(N)$  is the energy of  $N$  *non-interacting* identical systems then a method is size-extensive if  $E(N) = N \times E(1)$ .

# Configuration Interaction XI

Q: Is CID size-extensive?

If  $\hat{T}_2$  is an operator that creates all double excitations, then we can write the CID wavefunction as

$$\begin{aligned}
 |\Psi^{\text{CID}}\rangle &= |\Psi_0\rangle + \sum_{ij,ab} c_{ij}^{ab} |\Psi_{ij}^{ab}\rangle \\
 &= |\Psi_0\rangle + c_D |D\rangle \\
 &= (1 + \hat{T}_2) |\Psi_0\rangle
 \end{aligned}$$

## Configuration Interaction XII

The CID wavefunction for each of two identical non-interacting systems will be of that form, so the combined wavefunction will be

$$\begin{aligned} |\Psi_A^{\text{CID}}\rangle |\Psi_B^{\text{CID}}\rangle &= (1 + \hat{T}_2(A)) |\Psi_0^A\rangle (1 + \hat{T}_2(B)) |\Psi_0^B\rangle \\ &= (1 + \hat{T}_2(A) + \hat{T}_2(B) + \hat{T}_2(A)\hat{T}_2(B)) |\Psi_0^A\rangle |\Psi_0^B\rangle \end{aligned}$$

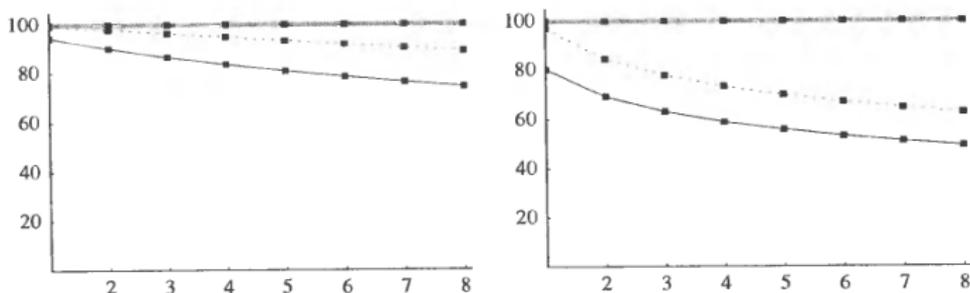
Since  $\hat{T}_2(AB) = \hat{T}_2(A) + \hat{T}_2(B)$ , the CID wavefunction for AB is

$$\begin{aligned} |\Psi_{AB}^{\text{CID}}\rangle &= (1 + \hat{T}_2(AB)) |\Psi_0^{AB}\rangle \\ &= ((1 + \hat{T}_2(A) + \hat{T}_2(B)) |\Psi_0^A\rangle |\Psi_0^B\rangle) \neq |\Psi_A^{\text{CID}}\rangle |\Psi_B^{\text{CID}}\rangle \end{aligned}$$

Therefore

$$E^{\text{CID}}(AB) \neq E^{\text{CID}}(A) + E^{\text{CID}}(B).$$

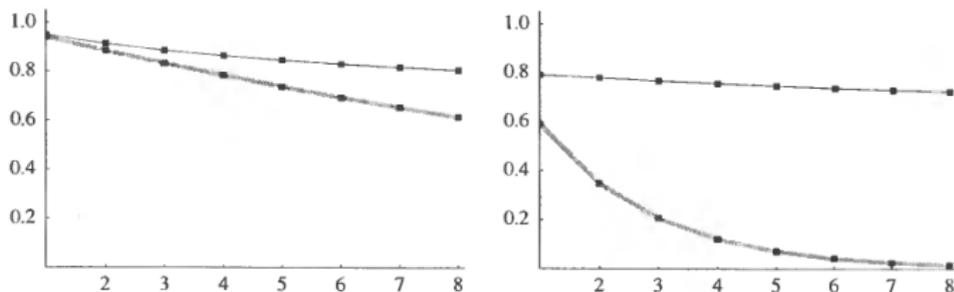
# CISD: Examples I



**Fig. 11.1.** The cc-pVDZ correlation energy per water monomer (as a percentage of the FCI correlation energy) as a function of the number of noninteracting water molecules. The grey line represents the FCI energy, the full black line the CISD energy, and the dotted line the CISD energy with the Davidson correction applied. The calculations have been carried out for the  $C_{2v}$  water molecule at the OH separations  $R = R_{\text{ref}}$  (left) and  $R = 2R_{\text{ref}}$  (right); see Table 11.2.

All figures from: *Molecular Electronic Structure Theory* by Helgaker et al..

# CISD: Examples II



**Fig. 11.2.** The weight of the Hartree–Fock determinant in the FCI wave function (grey line) and in the CISD wave function (black line) as a function of the number of noninteracting water monomers in the cc-pVDZ basis. The plot on the left corresponds to the molecular equilibrium geometry; the plot on the right represents a situation where the OH bonds have been stretched to twice the equilibrium bond distance. For details on the calculations, see Table 11.2.



# Is CID size-extensive? I

In this question you will calculate the CID solution for an ensemble of non-interacting helium atoms and demonstrate that CID is not size-extensive.

First consider a single helium atom with a Hartree–Fock ground state wavefunction  $\Psi_0$ , doubly excited wavefunction  $\chi$  and Hamiltonian  $\hat{h}$ . Assume the following:

Q:

$$\langle \Psi_0 | \Psi_0 \rangle = 1$$

$$\langle \chi | \chi \rangle = 1$$

$$\langle \Psi_0 | \chi \rangle = 0$$

$$\langle \Psi_0 | \hat{h} | \Psi_0 \rangle = \epsilon_0$$

$$\langle \Psi_0 | \hat{h} | \chi \rangle = \beta$$

$$\langle \chi | \hat{h} | \chi \rangle = \alpha$$

# Is CID size-extensive? II

CID continued...

The CID wavefunction for this atom is

$$\Psi = \Psi_0 + c \chi,$$

**Q:** where  $c$  is a constant that needs to be determined variationally using the linear variational principle.

Set up and solve the CID equations for this system and find the CID energy. Hence define the correlation energy,  $\epsilon_{\text{corr}}$ , for this system.

# Is CID size-extensive? III

CID continued...

Now consider a system of  $N$  non-interacting helium atoms with Hamiltonian  $\hat{H} = \sum_{i=1}^N \hat{h}_i$ . Notice that there are no interaction terms in this Hamiltonian. The reference ground state for this

Q: system is given by

$$\Phi_0 = \mathcal{A}\{\Psi_0(1)\Psi_0(2)\cdots\Psi_0(N)\},$$

where  $\Psi_0(i)$  is the reference state for atom  $i$  and  $\mathcal{A}$  is the antisymmetrization operator.

# Is CID size-extensive? IV

CID continued...

Doubly excited states have the form

$$\Phi_i = \mathcal{A}\{\Psi_0(1) \cdots \Psi_0(i-1)\chi(i)\Psi_0(i+1) \cdots \Psi_0(N)\},$$

**Q:** where  $\Psi_i$  is a state with the  $i^{\text{th}}$  atom excited into doubly excited state  $\chi(i)$ .

How many doubly excited states can you form for this system?

Write down the CID wavefunction in terms of these states and solve the CID equations for the CID energy of this system.

Hence obtain the CID correlation energy,  $E_{\text{CORR}}^{\text{CID}}$ , for this system.

# Is CID size-extensive? V

CID continued...

What should be the exact correlation energy,  $E_{\text{CORR}}$ , of this system?

Q: Show that  $E_{\text{CORR}}^{\text{CID}}/E_{\text{CORR}} \rightarrow 0$  as  $N \rightarrow \infty$ . What does this tell you?

For more on size-extensivity see Sec. 4.6 in Szabo & Ostlund.





# Coupled-cluster Theory III

- **GOOD** CC theories can be systematically improved.
- **GOOD** CCSD(T) is a very accurate theory. Here single and double excitations are included as described above and triple excitations are included through a perturbative treatment.
- **GOOD** Size-extensive.
- **BAD** Computationally very expensive: CCSD(T) scales as  $\mathcal{O}(N^7)$ . So double the system size and the calculation costs 128 times more.
- **BAD** (kind of!) These are single-determinant theories as described. If the system is multi-configurational (more than one state contributing dominantly) the standard CC methods are not appropriate.



# Møller–Plesset Perturbation Theory I

The HF single-determinant wavefunction is *not* an eigenstate of the Hamiltonian:

$$H|\Psi_0\rangle \neq E_0|\Psi_0\rangle.$$

But it is an exact eigenstate of the *Hartree–Fock Hamiltonian*

$$\mathcal{H}_0 \equiv \mathcal{F} = \sum_i^N f(i)$$

Show that it is. And show that the eigenvalue is  $E_0^{(0)} = \sum_i \epsilon_i$ .

**Q:** Hint: We know that  $f|i\rangle = \epsilon_i|i\rangle$ . Use this to show that  $\mathcal{H}_0|\Psi_0\rangle = E_0^{(0)}|\Psi_0\rangle$ . You may assume (or prove) that the Fock operator  $\mathcal{F}$  commutes with the anti-symmetrization operator.

# Møller–Plesset Perturbation Theory II

Now we can write  $\mathcal{H} = \mathcal{H}_0 + \mathcal{V}$ , where  $\mathcal{V}$  is the *fluctuation operator* defined as

$$\begin{aligned}\mathcal{V} &= \mathcal{H} - \mathcal{H}_0 \equiv \mathcal{H} - \mathcal{F} \\ &= \sum_{i,j>i} \frac{1}{r_{ij}} - \sum_i v^{\text{HF}}(i).\end{aligned}$$

That is,  $\mathcal{V}$  is the difference in the exact two-electron interaction operator and the approximate HF operator.

We can treat  $\mathcal{V}$  as a perturbation to  $\mathcal{H}_0$  and expand the energy using Rayleigh–Schrödinger perturbation theory:

# Møller–Plesset Perturbation Theory III

- Split the Hamiltonian into two parts:

$$\mathcal{H} = \mathcal{H}_0 + \lambda \mathcal{V}$$

where  $\mathcal{H}_0$  is a Hamiltonian which we know how to solve and  $\mathcal{V}$  contains that troublesome parts. We expect  $\mathcal{V}$  to be a perturbation so it must be small in some sense.

$\lambda$  is a complex number that will be 1 for the physical solution.

- Let the solutions of  $\mathcal{H}_0$  be:

$$\mathcal{H}_0 \Psi_i^{(0)} = E_i^{(0)} \Psi_i^{(0)}$$

Here the '0' indicates that these eigenvalues and eigenfunctions are of *zeroth-order* in the perturbation  $\mathcal{V}$ . We will use the short-form:  $|\Psi_i^{(0)}\rangle \equiv |i\rangle$ .



# Møller–Plesset Perturbation Theory V

- The first-order correction to the wavefunction is given by

$$|\Psi_i^{(1)}\rangle = \sum_{n \neq 0} \frac{|n\rangle \langle n | \mathcal{V} | i \rangle}{E_i^{(0)} - E_n^{(0)}}$$

- So we get

$$E_i^{(2)} = \sum_{n \neq 0} \frac{|\langle n | \mathcal{V} | i \rangle|^2}{E_i^{(0)} - E_n^{(0)}}$$

- This is all we need to derive the expression for MP2.
- Note: We still have not decided what the excited states are.

# Møller–Plesset Perturbation Theory VI

Many-body perturbation theory (MBPT) starts from Hartree–Fock theory:

$$\mathcal{H}_0 \equiv \mathcal{F} = \sum_{i=1}^N f(i) = \sum_{i=1}^n (h(i) + v^{\text{HF}}(i)) \quad (1)$$

where  $h(i) = -\frac{1}{2}\nabla_i^2 - \sum_{\alpha} \frac{Z_{\alpha}}{r_{i\alpha}}$  and

$$\mathcal{V} = \mathcal{H} - \mathcal{H}_0 = \sum_{i=1}^N \sum_{j>i}^N \frac{1}{r_{ij}} - \sum_{i=1}^N v^{\text{HF}}(i) \quad (2)$$

Unlike  $v^{\text{HF}}$ , the perturbation  $\mathcal{V}$  is a 2-electron operator.

# Møller–Plesset Perturbation Theory VII

MBPT energy at  $\lambda^0$ :

$$E_0^{(0)} = \langle 0 | \mathcal{F} | 0 \rangle = \sum_{i \in \text{occ}} \epsilon_i$$

At first-order we get:

**Q:** Show that  $E_0^{(1)} = \langle \Psi_0 | \mathcal{V} | \Psi_0 \rangle = -\frac{1}{2} \sum_{ij} \langle ij || ij \rangle$

The sum of  $E_0^{(0)}$  and  $E_0^{(1)}$  is just the Hartree–Fock ground state energy (see the lecture notes on HF theory):

$$E^{\text{HF}} = E_0^{(0)} + E_0^{(1)} \quad (3)$$

This means that we need to get to at least second-order in perturbation theory to go beyond the Hartree–Fock description.





# Møller–Plesset Perturbation Theory X

- **BAD** A problem with Møller–Plesset perturbation theory: it diverges! See Olsen *et al.* J. Chem. Phys. **112**, 9736 (2000) for details. We now rarely go beyond MP2 in practical calculations.
- **GOOD** MP2 contains correlation.
- **BAD** But not enough correlation. Problems with systems with small HOMO-LUMO gaps (band gap — HOMO is highest occupied MO and LUMO is lowest unoccupied MO).
- **GOOD** (kind of!) It has a computational cost of  $\mathcal{O}(N^5)$ . I.e., double the system in size and it will cost 32 times more computational power.
- **GOOD** MBPT is *size-consistent*.

# Møller–Plesset Perturbation Theory XI

Q: Using the system of  $N$  non-interacting He atoms, show that MP2 is size-consistent. Hints: first find the MP2 correlation energy for a single He atom, then for the  $N$  atoms. Show that the latter is  $N$  times the former.

















## The electron–electron cusp II

$$r_{12} = \sum_{l=0}^{\infty} P_l(\cos \theta_{12}) \left( \frac{1}{2l+3} \frac{r_{<}^{l+2}}{r_{>}^{l+1}} - \frac{1}{2l-1} \frac{r_{<}^l}{r_{>}^{l-1}} \right),$$

where  $r_{>} = \max(r_1, r_2)$  and  $r_{<} = \min(r_1, r_2)$ .

For a proof see Helgaker *et al.* sec. 7.4 and ex. 7.3.

The wavefunction  $\Psi$  can now be expressed in terms of this expansion and we now see that we need to include basis functions with angular momenta  $l > 0$  even for the spherically symmetric  $1S$  ground state of helium.















